

AXIS Canada | Financial Lines

# Appetite Guide, Success Stories, and Contacts

Management liability coverage for enterprises across the business spectrum.



Specialty Solutions, Elevated

# Elevating Solutions For Our Partners

Escalating risks and a demanding regulatory environment create growing challenges for your customers. AXIS is a specialty underwriter with a long track record of creating management liability solutions designed to mitigate these risks. We're here to help your customers elevate their ambitions and explore new pathways through tailored insurance products and services, on a global scale.

We offer a broad risk appetite and have a long-standing reputation for writing complex D&O programs for publicly listed companies. You'll see we have expanded our appetite to support more risks for privately-held companies in the lower middle market segment.

We will help you find the right coverage solution, no matter how specialized.



# Risk Solutions

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## Financial Lines Coverage

- Serving enterprises across the size spectrum
- Limits up to \$15 million
- Primary and follow-form excess
- Package or standalone options available
- Regulatory compliance coverage
- Worldwide territory

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## Product Offering

- Directors and officers liability (Public)
- Directors and officers liability (Private)
- Directors and officers liability (Lead Side A DIC)
- Employment practice liability
- Fiduciary liability
- Commercial crime (Fidelity/social engineering fraud)
- Private equity liability
- Workplace violence
- Cyber sublimits

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## Target Risk

Public and privately held firms in a wide array of industries:

- Communications and media
- Consumer staples
- Financial advisors and services
- Industrial services and goods
- Insurance companies
- Investment managers
- Manufacturing and construction
- Private equity
- Real estate and premises risk
- Technology and software services
- Transportation and logistics
- Utilities and energy
- Wholesalers, distributors, importers, and retailers



# Recent Wins

## Complex (Publicly Listed) Companies



| Class of Business                   | Coverage              | AXIS Advantage   |
|-------------------------------------|-----------------------|--|
| NYSE/NASDAQ corporation             | 2.5x2.5 ABC           | <ul style="list-style-type: none"><li>• Experience with SEC regulatory compliance and exposure</li><li>• Competitive primary on non-target space</li></ul> |
| Large-cap TSX natural resources     | 10x30 ABC             | <ul style="list-style-type: none"><li>• Large existing portfolio in the sector</li><li>• Comfort with global operations</li></ul>                          |
| Small-cap TSX software firm         | Primary \$5M ABC      | <ul style="list-style-type: none"><li>• Investigative cost, electronic discovery sublimits and Side A reinstatement</li><li>• Business acumen</li></ul>    |
| Mid-cap TSX hospitality chain       | 10x10 ABC             | <ul style="list-style-type: none"><li>• Underwriting expertise</li><li>• Risk understanding</li></ul>  |
| Dual list O&G corporation           | 5x5 ABC               | <ul style="list-style-type: none"><li>• Strong primary proposal, placed XS as inherent future alternate</li></ul>  |
| International precious metals miner | \$10M Lead Side A DIC | <ul style="list-style-type: none"><li>• Broad form, no exclusions</li><li>• \$10M reinstatement and \$10M additional independent limit</li></ul>           |

# Recent Wins

## Lower Middle Market (Privately Held) Companies

| Class of Business                                 | Coverage                              | AXIS Advantage  |
|---|---------------------------------------|---|
| Cross-border steel refinery                       | D&O, EPL, FL                          | <ul style="list-style-type: none"><li>• US underwriting experience</li><li>• Crime coverage option for future</li></ul>                           |
| Solar farm  | D&O, EPL, WPV, Cyber                  | <ul style="list-style-type: none"><li>• \$100K cyber sublimit</li><li>• \$250K WPV sublimit</li><li>• Broad form with enhanced coverage</li></ul> |
| Canada local tie-in to North American corporation | D&O                                   | <ul style="list-style-type: none"><li>• Global capabilities and appetite</li><li>• Service response and turnaround time</li></ul>                 |
| Sand and gravel >\$1B sales                       | D&O, EPL, FL, Crime                   | <ul style="list-style-type: none"><li>• Crime cover consolidated on one policy</li><li>• Expanded casualty and E&amp;O relationship</li></ul>     |
| Healthcare services >500 employees                | D&O, EPL                              | <ul style="list-style-type: none"><li>• Multi-year agreement</li><li>• Strategic broker relationship</li></ul>                                    |
| Consumer technology software                      | D&O, EPL, WPV, FL, Cyber, Crime (SEF) | <ul style="list-style-type: none"><li>• Social engineering fraud 250K sublimit</li><li>• EPL expertise in various US states</li></ul>             |



# Committed to the Canadian Management Liability Market



## The AXIS Advantage

- **Trusted, expert underwriting** – Direct access to leading specialty underwriters with expertise, products, and services tailored for your needs.
- **Innovation** – Creative thinking that elevates beyond standard solutions.
- **Responsiveness** – Alignment with you and your customers for timely action.
- **Local authority** – Full responsibility in Canada for underwriting and claims.
- **Stability** – A stable, long-term market partner for brokers and customers.
- **Claims Excellence** – Count on swift action, insightful responses, and clear, consistent communications.
- **Financial strength you can rely on** – A+ S&P Rating. \$1.8B Financial Lines platform.  
[axiscapital.com/Canada/who-we-are/financial-strength-ratings](https://axiscapital.com/Canada/who-we-are/financial-strength-ratings)

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