



AXIS PRO[®] PRIVASURE[™] INSURANCE RENEWAL APPLICATION

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SOLELY AS RESPECTS CLAIMS-MADE LIABILITY COVERAGES UNDER THE POLICY FOR WHICH THIS APPLICATION IS BEING SUBMITTED: THIS INSURANCE POLICY PROVIDES COVERAGE ON A CLAIMS-MADE AND REPORTED BASIS AND APPLIES ONLY TO CLAIMS FIRST MADE AGAINST THE INSURED DURING THE POLICY PERIOD OR ANY APPLICABLE EXTENDED REPORTING PERIOD AND REPORTED TO THE INSURER AS SET FORTH IN THE REPORTING OF CLAIMS AND EVENTS SECTION. DEFENSE COSTS ARE INCLUDED IN THE LIMITS OF INSURANCE, AND PAYMENT THEREOF WILL ERODE, AND MAY EXHAUST, THE LIMITS OF INSURANCE.

ABOUT THIS APPLICATION

- The term "Applicant," herein refers individually and collectively to all proposed insureds. All responses shall be deemed made on behalf of all proposed insureds.
- This Application and all materials submitted herewith shall be held in confidence.
- The submission of this Application does not obligate the Applicant to buy insurance nor is the Insurer obligated to sell insurance or to offer insurance upon any specific terms requested.
- If the policy applied for is issued, this Application, which shall include all Supplemental Applications and material and information submitted in connection with this Application, will be deemed attached to and will form a part of the policy.

INSTRUCTIONS

- Respond to all questions completely, leaving no blanks. Check responses when requested.
- If space is insufficient, continue responses on your letterhead.
- This Application must be completed, dated, and signed by an authorized officer of the entity identified in the section entitled "Applicant Information" below.



AXIS PRO[®] PRIVASURE[™] INSURANCE RENEWAL APPLICATION

APPLICANT INFORMATION

Applicant Entity Name:

Mailing Address:

Primary Website:

NAICS Code:

Short Description of Business Services or Activities Performed for Others:

COVERAGE REQUESTS

LIMITS OF INSURANCE		
Policy Limit of Insurance		
Claims-Made Liability Coverages Limits of Insurance		
Aggregate Claims-Made Liability Coverages Limit of Insurance		
Each Enterprise Security Event Claim Limit of Insurance		
Each Privacy Regulation Claim Limit of Insurance		
First Party Coverages Limits of Insurance		
Aggregate First Party Coverages Limit of Insurance		
Crisis Management Expense Limit of Insurance		
Fraud Response Expense Limit of Insurance		
Public Relations Expense Limit of Insurance		
Forensic and Legal Expense Limit of Insurance		
Extortion Loss Limit of Insurance		
RETENTION		
Aggregate Policy Level Retention		
Claims-Made Liability Coverage Retention		
Each Claim Retention		
First Party Coverages Retention		
Aggregate First Party Coverages Retention		
Crisis Management Expense Retention		



AXIS PRO[®] PRIVASURE[™] INSURANCE RENEWAL APPLICATION

Fraud Response Expense Retention	<Select>	
Public Relations Expense Retention	<Select>	
Forensic and Legal Expense Retention	<Select>	
Extortion Loss Retention	<Select>	

ENDORSED COVERAGE(S)

BUSINESS INTERRUPTION AND DATA RECOVERY COVERAGE

Limits of Insurance

Business Interruption Coverage Limit of Insurance		
Data Recovery Expense Coverage Limit of Insurance		
Retention		
Data Recovery Expense Retention		

PCI-DSS FINES COVERAGE

Limits of Insurance

Aggregate PCI-DSS Fines Claims Limit of Insurance		
Each PCI-DSS Claim		
Retention		
Aggregate PCI-DSS Fines Claim Retention		
PCI-DSS Fines Claim Retroactive Date		

WEBSITE MEDIA LIABILITY COVERAGE

Limits of Insurance

Each Website Media Claim Limit of Insurance	<Select>	
Website Media Claim Retroactive Date		

Other Coverage Requests:

FINANCIAL INFORMATION

REVENUE	<u>Prior 12 Months</u>	<u>Current 12 Months</u>	<u>Estimate for Next 12 Months</u>
Domestic Gross:	\$	\$	\$
Foreign Gross:	\$	\$	\$



DATA SECURITY AND PRIVACY INFORMATION

1. Personal and Corporate Data – Category

- A. Do you collect, input, store, process, or maintain any of the following Protected Personal Information or Protected Healthcare Information Records in the course of operating your business?

Medical or Healthcare Data	YES <input type="checkbox"/>	Number of records: _____
	NO <input type="checkbox"/>	
Credit Card, Bank Account, or other Financial Data	YES <input type="checkbox"/>	Number of records: _____
	NO <input type="checkbox"/>	
Social Security Numbers or Tax Identification Numbers	YES <input type="checkbox"/>	Number of records: _____
	NO <input type="checkbox"/>	
Driver's License Numbers	YES <input type="checkbox"/>	Number of records: _____
	NO <input type="checkbox"/>	

Total number of protected records in your care, custody or control: _____

- B. Do you collect, input, store, process, or maintain any Protected Personal Information or Protected Healthcare Information Records for third party corporate entities?

YES ☐ NO ☐

- C. Do you store, process or maintain any third party corporate confidential information?

YES ☐ NO ☐

2. Personal and Corporate Data – Location and Transit

- A. Is any data noted in Question 1 above processed, stored, inputted, collected or otherwise handled on or in any of the following assets under your control or authorization?

Websites	YES <input type="checkbox"/>	NO <input type="checkbox"/>
Computer system		
(comprising a network of computing equipment and servers owned or leased by you)	YES <input type="checkbox"/>	NO <input type="checkbox"/>
Laptops, personal portable or mobile devices		
(including mobile storage, e.g., USB flash drives)	YES <input type="checkbox"/>	NO <input type="checkbox"/>
Physical files and premises (non-electronic)	YES <input type="checkbox"/>	NO <input type="checkbox"/>

- B. Is any data noted in Question 1 collected, inputted, stored, processed, or maintained off-site via a third party computer system or network on your behalf?



AXIS PRO[®] PRIVASURE[™] INSURANCE RENEWAL APPLICATION

YES ☐NO ☐

If "Yes," please answer the questions below. (You may be asked to provide specimen or actual contracts as part of your application.) Otherwise, you may proceed to question 3.

- i. Do you enter into written agreement* for such third party services that address care, use and control of sensitive or confidential information? YES ☐ NO ☐
- ii. Do the written agreements provide you with indemnification in the event of a breach of such third party service provider's systems, networks or other assets? YES ☐ NO ☐
- iii. Do you require such third parties to provide evidence of network security and privacy liability coverage? YES ☐ NO ☐

3. Personal and Corporate Data – Data Security, Prevention and Response

- A. With respect to Protected Personal Information or Protected Healthcare Information Records and third-party confidential corporate information under your control or authorization, which of the following methods of data security, breach prevention or detection, and data security risk management do you employ in your operations?

- Automated Virus scans of computer system YES ☐ NO ☐
- Encryption of laptops or mobile devices YES ☐ NO ☐
- Encryption of network data at rest and during file transfers
(including back-up files stored off-site) YES ☐ NO ☐
- Password protection for access to network
(including on all mobile or portable devices) YES ☐ NO ☐
- Real-time network monitoring for possible intrusions or abnormalities YES ☐ NO ☐
- Automated Patch management program YES ☐ NO ☐
- System Security Audit (performed annually or more frequently) YES ☐ NO ☐
- Written information security policy with annual employee training and certification YES ☐ NO ☐
- Privacy disclosure statement on website YES ☐ NO ☐
- Computer system and data back-ups on a regular basis? YES ☐ NO ☐
- Please describe any other privacy controls:

B. Payments and Transactions Security

Do you transact business utilizing debit, credit, pre-paid, ATM, POS or similar transaction methods? YES ☐ NO ☐

If "Yes", have you been certified compliant within the past twelve (12) months with the Payment Card Industry Standards for data security that are applicable to your business? YES ☐ NO ☐

What is your PCI Merchant Level: 1 ____, 2 ____, 3 ____, or 4 ____



C. Data Breach Response Protocols

In the past three years, have you notified any individual or entity that their data or information was subject to an actual or suspected breach of privacy while in your care, custody or control? YES ☐ NO ☐

If "Yes", please describe:

Do you have written procedures for notifying customers, clients and employees of a breach in security that may affect their information? YES ☐ NO ☐

If "Yes", please provide a short description of your procedures:

SUPPLEMENTAL COVERAGES

Answer the following questions 1.-2. only if you are requesting **Business Interruption and Data Recovery Coverage**:

1. Does your organization have a formal incident response plan? YES ☐ NO ☐
2. A. Does your organization have a formal Business Continuity/Disaster Recovery Plan?
YES ☐ NO ☐
B. If "Yes" to question 2.A. above, was your Business Continuity/Disaster Recovery Plan tested during the past year?
YES ☐ NO ☐
C. If "Yes" to question 2.A. above, what is the greatest expected downtime (in hours) for critical business systems?

Answer the following questions 3.-8. only if you are requesting **Website Media Liability Coverage**:

3. Domain Names:
 - A. What steps were taken to ensure that your domain names do not infringe on the intellectual property rights of others?
 - B. Are you aware of any potential or actual disputes over domain names owned by you or under your control?
YES ☐ NO ☐

If "Yes", please explain:

4. Please indicate the percentage of your website content that is:

Original content created by you

Original content created by others (third parties) for you

Previously published, released or archived content to be republished and/or retrievable by you



AXIS PRO[®] PRIVASURE[™] INSURANCE RENEWAL APPLICATION

5. Have you obtained all the necessary rights, licenses, releases and consents applicable to all content designated in question 4. above?

YES ☐

NO ☐

If "No", please explain:

6. Do you edit or review your website content created or provided by others? YES ☐ NO ☐
7. Do those parties providing the content indemnify you, in writing, for any claims arising out of the use of the content designated in Question 6. above?

YES ☐

NO ☐

8. Describe your policies and procedures for removing controversial or potentially defamatory or infringing material from

REPRESENTATIONS AND SIGNATURE

By signing this document, the undersigned authorized representative of the Applicant represents on behalf of all persons and entities proposed for coverage, after inquiry, that to the best of their knowledge:

1. The statements and answers given in and all materials submitted with this Application are true, accurate and complete.
2. No facts or information material to the risk proposed for insurance have been misstated or concealed.
3. These representations are a material inducement to the Insurer to provide a proposal for insurance.
4. Any policy the Insurer issues will be issued in reliance upon these representations.
5. The Applicant will report to the Insurer immediately in writing any material change in the Applicant's activities, products and services.
6. The Applicant will report to the Insurer immediately in writing any material changes to the answers provided in this Application which occur or are discovered between the date of this Application and the effective date of the policy for which coverage is sought by submission this Application.
7. The Insurer reserves the right, upon receipt of any such notice, to modify or withdraw any proposal for insurance the Insurer has offered.



AXIS PRO[®] PRIVASURE[™] INSURANCE RENEWAL APPLICATION

WARNING

PLEASE REVIEW THE STATE FRAUD STATEMENT CONTAINED AT THE END OF THIS APPLICATION APPLICABLE TO THE STATE IN WHICH THE APPLICANT RESIDES.

Any person who, with intent to defraud or knowingly facilitates a fraud against the insurer, submits an application or files a claim containing a false or deceptive statement may be guilty of insurance fraud.

This Application must be signed by the Applicant's Chief Executive Officer, Chief Financial Officer, Chief Operations Officer or General Counsel, or their functional equivalent, unless the Insurer instructs the Applicant otherwise.

Name

Name (signature)

Title

Date

TO BE COMPLETED BY PRODUCERS ONLY:

RETAIL PRODUCER		WHOLESALE PRODUCER	
Producer Name: City, State: Telephone No.:		Producer Name: City, State: Telephone No.:	

PRODUCER SIGNATURE:



STATE FRAUD STATEMENT

ALABAMA

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison or any combination thereof.

ARKANSAS

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

COLORADO

It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado division of insurance within the department of regulatory agencies.

DISTRICT OF COLUMBIA

Warning: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

FLORIDA

Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete or misleading information is guilty of a felony of the third degree.

KANSAS

A "fraudulent insurance act" means an act committed by any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written electronic, electronic impulse, facsimile, magnetic, oral, or telephonic communication or statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto.

KENTUCKY

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information, or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

LOUISIANA

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

MAINE



AXIS PRO[®] PRIVASURE[™] INSURANCE RENEWAL APPLICATION

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or a denial of insurance benefits.

MARYLAND

Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

NEW JERSEY

Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

NEW MEXICO

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

NEW YORK

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

OHIO

Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

OKLAHOMA

WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

OREGON

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents materially false information in an application for insurance may be guilty of a crime and may be subject to fines and confinement in prison.

In order for us to deny a claim on the basis of misstatements, misrepresentations, omissions or concealments on your part, we must show that:

- A. The misinformation is material to the content of the policy;
- B. We relied upon the misinformation; and
- C. The information was either:
 - 1. Material to the risk assumed by us; or
 - 2. Provided fraudulently.



AXIS PRO[®] PRIVASURE[™] INSURANCE RENEWAL APPLICATION

For remedies other than the denial of a claim, misstatements, misrepresentations, omissions or concealments on your part must either be fraudulent or material to our interests.

With regard to fire insurance, in order to trigger the right to remedy, material misrepresentations must be willful or intentional.

Misstatements, misrepresentations, omissions or concealments on your part are not fraudulent unless they are made with the intent to knowingly defraud.

PENNSYLVANIA

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

PUERTO RICO

Any person who knowingly and with the intention of defrauding presents false information in an insurance application, or presents, helps, or causes the presentation of a fraudulent claim for the payment of a loss or any other benefit, or presents more than one claim for the same damage or loss, shall incur a felony and, upon conviction, shall be sanctioned for each violation with the penalty of a fine of not less than five thousand dollars (\$5,000) and not more than ten thousand dollars (\$10,000), or a fixed term of imprisonment for three (3) years, or both penalties. Should aggravating circumstances be present, the penalty thus established may be increased to a maximum of five (5) years, if extenuating circumstances are present, it may be reduced to a minimum of two (2) years.

RHODE ISLAND

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

TENNESSEE

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

VIRGINIA

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

WASHINGTON

It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

WEST VIRGINIA

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.